

United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.usoto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/037,304

12/31/2001

Huanong Zhang

X02.12-0001

CONFIRMATION NO. 4512

Date Mailed: 02/05/2002

FORMALITIES LETTER

OC000000007426224*

WESTMAN, CHAMPLIN & KELLY, P.A. **Suite 1600** International Centre 900 Second Avenue South Minneap0olis, MN 55402-3319



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

COPY OF PAPERS ORIGINALLY FILED An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$316.
 - **\$36** for 2 total claims over 20.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1186.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor : Huanong Zhang

Appln. No.: 10/037,304

Filed

: December 31, 2001

For

: METHOD FOR ASSEMBLING BATTERY

ELEMENT GROUP AND BATTERY MANUFACTURED WITH THE SAME

METHOD

Docket No.: X02.12-0001

Group Art Unit:1745

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)

Box Missing Parts

Commissioner for Patents Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE ASSISTANT COMMISSIONER FOR PATENTS,

WASHINGTON, D.C. 20231, THIS

PATENT ATTORNEY

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted dated February 5, 2002, Applicant encloses the following documents to complete the above-identified patent application filed December 31, 2001:

- 1. Charge Authorization-PTO Form 2038 in the amount of \$870 (to cover the filing fee \$740 and surcharge fee of \$130).
- Combined Declaration and Power of Attorney executed by the inventor(s).
- 3. Fee Calculation Sheet (duplicate).
- 4. Preliminary Amendment
- 5. Claims of Priority and Transmittal of Certified Copies

of Priority Documents

6. Notice to File Missing Parts of Application-Filing Date Granted.

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this sheet is enclosed.

Respectfully submitted, WESTMAN, CHAMPLIN & KELLY, P.A.

Bv:

Judson K. Champlin, Reg. No. 34,797 Suite 1600 - International Centre 900 Second Avenue South

Minneapolis, Minnesota 55402-3319

Phone: (612) 334-3222 Fax: (612) 334-3312

JKC:lrs

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FEE TRANSMITTAL	A 10		lete if Kno		10/037,304	
FEE TRANSMITTAL		Application No.			December 31, 2001	
		Date			luanong Zhang	
		Named	Invento	ſ	ETHOD FOR ASSEMBLING BATTE	RY
(PE	Title			E	LEMENT GROUP AND BATTERY	
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Total Amount of Payment \$ 870	Atty.	Docket	Numbei			
METHOD OF PAYMENT (Check One)	ļ			FEE (CALCULATION (Continued)	
1. The Director is hereby authorized to charge any additional fee	3. AD	DITIONAL	FEES			
required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123.		e Entity		Entity	For Description	5 00
Westman, Champlin & Kelly, P.A.	Fee	Fee	Fee	Fee	Fee Description	Fee Paid
2. XI Charge Authorization-PTO Form 2038 for \$870.00	Code	(\$)	Code	(\$)		400
	105	130	205	65		130
FEE CALCULATION	127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet	
1. BASIC FILING FEE	139	130	139	130	Non-English specification	
Large Entity Small Entity	147	2,520	147	2,520	For Filing a Request for Reexamination. (ex parte)	
Fee Fee Fee	115	110	215	55	Extension for reply within first month	
Cod (\$) Code (\$) Fee Description	116	400	216	200	Extension for reply within second month	
101 740 201 370 🗵 Utility Filing Fee	117	920	217	460	Extension for reply within third month	
106 330 206 165 □Design Filing Fee	118	1,440	218	720	Extension for reply within fourth month	•
108 740 . 208 370 ☐Reissue Filing Fee	128	1,960	280	980	Extension for reply within fifth month	
114 160 214 80 ☐Prov. Filing Fee	120	320	220	160	Filing a brief in support of an appeal	
Subtotal (1) \$ 74	121	280	221	140	Request for oral hearing	တ္က 🔐
2. EXTRA CLAIM FEES	148	110	248	55	Terminal Disclaimer Fee	
Number Prior** Extra Fee from Fee Pai Claims Below	140	110	240	55	Petition to Revive - unavoidable	COPY OF PAPERS DRIGINALLY FILED
Total 18 20 0 18 0	141	1,310	241	670	Petition to Revive - unintentional	O ₹
Indep. 2 3 0 84 0	142	1,310	242	670	Utility/Reissue issue fee (inc. advance copies)	COPY OF PA ORIGINALLY
Multiple Dependent Claims	143	490	243	260	Design issue fee (inc. advance copies)	
** Insert 3 and 20, or number previously paid if greater; Reissue see below	122	130	122	130	Petitions to the Commissioner	
Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$)	123	50	123	50	Petitions related to provisional applications	
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3	126	180	126	180	Submission of Information Disclosure Statement	
104 280 204 140 Multiple Dependent Claims	581	40	581	40		
109 84 209 42 Reissue Independent Claims over Original Patent		70		70	property (times number of properti s)	
110 18 210 9 Reissue claims in excess of 20 and over original patent	Other	Other Fee (specify)				
Subtotal (2) \$	<u> </u>				Subtota	l (3) \$130

Signature

(Judson K Champlin

Reg. No. 34.797

Deposit Account No. 23-1123